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PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032  
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PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |                        |
|------------------------|------------------------|
| Attorney Docket No.    | KPO194                 |
| First Inventor         | Keiichi MORI           |
| Title                  | OPTICAL MONITOR MODULE |
| Express Mail Label No. | EV326498847US          |

U.S. PTO  
10803359

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:  
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| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br>(Submit an original and a duplicate for fee processing)                                      | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program (Appendix)  |  |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.   | 8. Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)   |  |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 16]<br>(preferred arrangement set forth below)  | a. <input type="checkbox"/> Computer Readable Form (CRF)  |  |
| - Descriptive title of the invention  | b. Specification Sequence Listing on:   |  |
| - Cross Reference to Related Applications   | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or   |  |
| - Statement Regarding Fed sponsored R & D   | ii. <input type="checkbox"/> Paper  |  |
| - Reference to sequence listing, a table,<br>or a computer program listing appendix   | c. <input type="checkbox"/> Statements verifying identity of above copies   |  |
| - Background of the Invention   | ACCOMPANYING APPLICATION PARTS  |  |
| - Brief Summary of the Invention  | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))  |  |
| - Brief Description of the Drawings (if filed)  | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of<br>(when there is an assignee) <input type="checkbox"/> Attorney             |  |
| - Detailed Description  | 11. <input type="checkbox"/> English Translation Document (if applicable)   |  |
| - Claim(s)  | 12. <input checked="" type="checkbox"/> Information Disclosure <input checked="" type="checkbox"/> Copies of IDS<br>Statement (IDS)/PTO-1449 <input type="checkbox"/> Citations |  |
| - Abstract of the Disclosure  | 13. <input type="checkbox"/> Preliminary Amendment  |  |
| 4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 3]   | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)   |  |
| 5. Oath or Declaration [Total Sheets 1+ADS]   | 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)  |  |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy)  | 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122<br>(b)(2)(B)(i). Applicant must attach form PTO/SB/35<br>or its equivalent.                             |  |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br>(for continuation/divisional with Box 18 completed)   | 17. <input checked="" type="checkbox"/> Other: Cert. of Express Mail.....   |  |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br>Signed statement attached deleting inventor(s)<br>name in the prior application, see 37 CFR<br>1.63(d)(2) and 1.33(b). |   |  |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76  |   |  |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation       Divisional       Continuation-in-part (CIP)      of prior application No.: .....Prior application information: Examiner \_\_\_\_\_ Art Unit: \_\_\_\_\_  
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

 Customer Number: 25271      OR       Correspondence address below

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|-------------------|---------------------------|-----------------------------------|--------|
| Name (Print/Type) | THOMAS A. GALLAGHER, ESQ. | Registration No. (Attorney/Agent) | 24,815 |
| Signature         | Thomas A. Gallagher       |                                   |        |
|                   | Date                      | 03-18-2004                        |        |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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031804



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                     |                        |
|---------------------|------------------------|
| Applicants:         | Keiichi Mori           |
| Attorney Docket No. | KPO194                 |
| Title:              | Optical Monitor Module |

**CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10**

I hereby certify that this United States patent application transmittal and the documents referred to therein as enclosed are being deposited with the United States Postal Service on March 18, 2004 as "Express Mail," mailing label number EV326498847US in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, . P.O. Box 1450 Alexandria, VA 22313-1450.

*Jean C. Reed*  
\_\_\_\_\_  
Jean C. Reed

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